

## Exclusion Screening Requirements

Federal law prohibits payment to a person or entity excluded from federal healthcare programs. To ensure no payment is made to an excluded party, individuals and entities involved in IPA business must be screened regularly against federal databases of excluded parties.

**Who must be screened?** All employees, temporary employees, volunteers, consultants, governing body members, and subcontractors involved in IPA business (such as a third-party biller).

**When and how often?** Screenings are required prior to hire or contracting, and monthly thereafter.

**What if there's a match?** All potential matches must be investigated, and the outcome of the investigation must be documented.

### False match:

- Document that the match is false. This can be as simple as a note on the screening results that says "false match."
- Save the source documentation, such as a screenshot, that shows the person or entity name, the date, the source, and the information that proves that the match is false.

### Confirmed match:

- Immediately remove the person or entity from direct or indirect work supporting IPA business
- Notify Physicians DataTrust at [compliance@pdtrust.com](mailto:compliance@pdtrust.com), or by phone at (562) 860-8771, ext. 114

**What documentation is required?** Screening evidence must show the name of the person or entity being screened, the date, the exclusion database checked, the screening results, and the outcome of having investigated any potential matches.

If your organization uses a third-party vendor to conduct screenings, screening evidence must also show the name of the vendor.

Evidence of screenings and resolving potential matches must be saved for a minimum of ten (10) years.

**Who do I contact with questions?** For assistance with exclusion screenings, please contact Physicians DataTrust at [compliance@pdtrust.com](mailto:compliance@pdtrust.com) or by phone at (562) 860-8771, ext. 114.

## OIG Exclusion Screenings

The first database is the Department of Health and Human Services (DHHS) Office of the Inspector General (OIG) List of Excluded Individuals and Entities (LEIE). This database lists individuals and entities excluded from participation in government healthcare programs.

### To conduct OIG exclusion screenings:

- Navigate to <https://exclusions.oig.hhs.gov/>
- Select the search type (Individual, Multiple Individuals, Entity, Multiple Entities)
- Enter the individual or entity name and click “Search”
- Save a screenshot of the results, or print the results page to PDF
- Ensure the name, screening date, database name, and screening results are visible
- Save this documentation for a minimum of ten (10) years

*No matches found:*

### Exclusions Search Results: Individuals ?

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No Results were found for

➤ Reeder , Arthur

**!** If no results are found, this individual or entity (if it is an entity search) is not currently excluded. Print this Web page for your documentation

[Search Again](#)

Search conducted 7/22/2020 3:19:33 PM EST on OIG LEIE Exclusions database.  
Source data updated on 7/10/2020 9:00:00 AM EST

*Potential match found:*

### Exclusions Search Results: Individuals ?

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Results were found for

➤ Tamarin, Mark

**!** If the name of the individual or entity appears below, click on the underlined last name or entity name to Verify the record. If the name does not appear in the search results below, print this Web page for your documentation.

[Print Search Results](#)

<u>Last Name</u>	<u>First Name</u>	<u>Middle Name</u>	<u>General</u>	<u>Specialty</u>	<u>Exclusion</u>	<u>Waiver</u>	<u>SSN/EIN</u>
<u>TAMARIN</u>	MARK	WILFRED	PHYSICIAN (MD, DO)	UROLOGY	1128(a)(1)		<a href="#">Verify</a>

Search conducted 11/24/2021 7:28:49 PM EST on OIG LEIE Exclusions database.  
Source data updated on 11/9/2021 8:00:00 AM EST

**To investigate potential matches:**

- If the party’s name does not appear in the search results, note “false match”
- Save this documentation for a minimum of ten (10) years

**Exclusions Search Results: Individuals** ⓘ

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Results were found for

➤ [Smith, Bill](#) False match

ⓘ If the name of the individual or entity appears below, click on the underlined last name or entity name to Verify the record. If the name does not appear in the search results below, print this Web page for your documentation.

[Print Search Results](#)

<u>Last Name</u>	<u>First Name</u>	<u>Middle Name</u>	<u>General</u>	<u>Specialty</u>	<u>Exclusion</u>	<u>Waiver</u>	<u>SSN/EIN</u>
<a href="#">SMITH</a>	BILLIE		SKILLED NURSING FAC	NURSE/NURSES AIDE	1128(a)(2)		<a href="#">Verify</a>

Search conducted 11/29/2021 7:42:19 PM EST on OIG LEIE Exclusions database.  
Source data updated on 11/9/2021 8:00:00 AM EST

[Return to Search](#)

- If the party’s name appears in the search results, click “Verify”
- If the information displayed disproves the match, note “false match”
- Save this documentation for a minimum of ten (10) years

**Exclusions Search Results: Verify** ⓘ

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Do not use your browser’s back button while navigating through the LEIE search. Instead, use the built-in navigation features as indicated below:

← [Return to Search Results](#) | [Begin a New Search](#)

<b>First Name</b>	BILLIE
<b>Middle Name</b>	
<b>Last Name</b>	SMITH
<b>DOB</b>	04/22/1974
<b>NPI</b>	Unknown
<b>UPIN</b>	Unknown
<b>General</b>	SKILLED NURSING FAC
<b>Specialty</b>	NURSE/NURSES AIDE
<b>Address</b>	2002 FIFTH ST, NORTH COLUMBUS, MS 39701-0000
<b>Excl. Type</b>	1128(a)(2)- PATIENT ABUSE/NEGLECT CONVICTION
<b>Excl. Date</b>	09/03/1997
<b>Waiver</b>	

False match

- If the information displayed does not disprove the match:
  - a. For an individual, enter the individual’s SSN
  - b. For an entity, enter the entity’s EIN or TIN
- If there is “NO MATCH”, save this documentation for a minimum of 10 years.

### Exclusions Search Results: Verify ?

Do not use your browser’s back button while navigating through the LEIE search. Instead, use the built-in navigation features as indicated below:

← [Return to Search Results](#) | [Begin a New Search](#)

<b>First Name</b>	MARK
<b>Middle Name</b>	WILFRED
<b>Last Name</b>	TAMARIN
<b>DOB</b>	12/20/1954
<b>NPI</b>	1851340731
<b>UPIN</b>	Unknown
<b>General</b>	PHYSICIAN (MD, DO)
<b>Specialty</b>	UROLOGY
<b>Address</b>	3901 KLEIN BLVD, #75134-112 LOMPOC, CA 93438-0000
<b>Excl. Type</b>	1128(a)(1)- PROGRAM-RELATED CONVICTION
<b>Excl. Date</b>	11/19/2020
<b>Walver</b>	

Verification conducted 11/29/2021 7:53:38 PM

To verify if you have a match, please enter a Social Security Number (SSN) or Employer Identification Number (EIN) without dashes (123456789).

NO MATCH

- If there is a match, immediately remove the party from direct or indirect IPA business
- Save documentation of this action for a minimum of ten (10) years

For assistance determining if your employee or subcontractor matches with an excluded party, please contact Physicians DataTrust at [compliance@pdtrust.com](mailto:compliance@pdtrust.com) or by phone at (562) 860-8771, ext. 114.

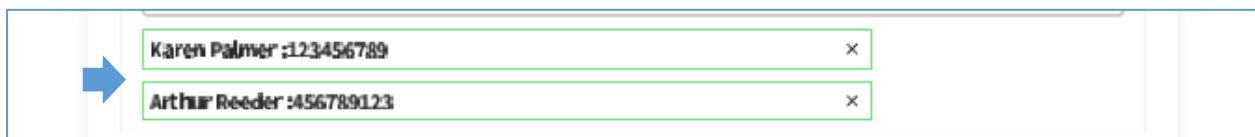
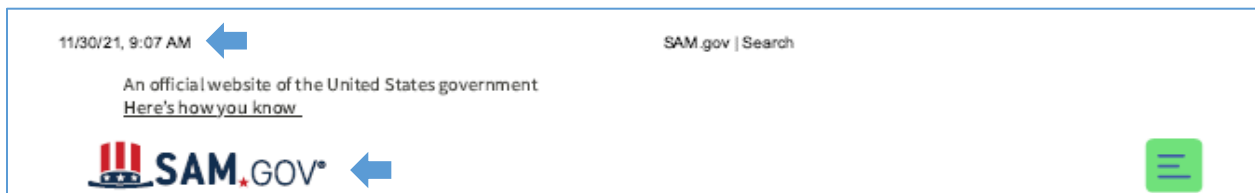
## GSA Exclusion Screenings

The second database is the General Services Administration (GSA) System for Award Management (SAM). This database lists individuals and entities excluded from participation in government contracts and subcontracts, including those government contracts and subcontracts pertaining to healthcare.

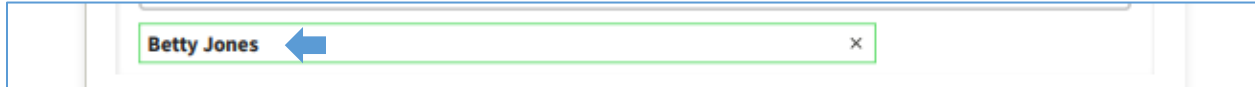
### To conduct GSA exclusion screenings:

- Navigate to <https://sam.gov/content/home>
- Click “Search”
- Click the plus sign to expand the “Select Domain” menu
- Click “Exclusions” (you do not need to sign in)
- To screen an individual or multiple individuals:
  - Select “Excluded Individual”
  - Enter the individual’s name and SSN
  - Click “Add Individual”
  - Add additional individuals as needed
- To screen an entity or multiple entities:
  - Select “Excluded Entity”
  - Enter the entity’s name
  - Click “Add Individual”
  - Add additional individuals as needed
- Print the results page to PDF
  - Turn on your internet browser’s “headers and footers” feature to add a date stamp
- Ensure the name, screening date, database name, and screening results are visible
- Save this documentation for a minimum of ten (10) years

### No matches found:



Potential matches found:



Showing 1 - 3 of 3 results Sort by  
Relevance ▼

<b>BETTY J JONES</b> ● Active				Exclusion
<b>DUNS</b> Unique Entity ID (blank)	CAGE Code (blank)	Physical Address GLEN CARBON, IL 62034 USA	Classification <b>Individual</b>	
<b>SAM</b> Unique Entity ID (blank)			Activation Date <b>Jan 20, 2016</b>	
				Termination Date <b>Indefinite</b>
<b>Betty Channel Jones</b> ● Active				Exclusion
<b>DUNS</b> Unique Entity ID (blank)	CAGE Code (blank)	Physical Address Plaquemine, LA 70764 USA	Classification <b>Individual</b>	
<b>SAM</b> Unique Entity ID (blank)			Activation Date <b>Apr 15, 1996</b>	
				Termination Date <b>Indefinite</b>
<b>Betty Channel Jones</b> ● Active				Exclusion
<b>DUNS</b> Unique Entity ID (blank)	CAGE Code (blank)	Physical Address Plaquemine, LA 70764 USA	Classification <b>Individual</b>	
<b>SAM</b> Unique Entity ID (blank)			Activation Date <b>Apr 15, 1996</b>	
				Termination Date <b>Indefinite</b>

Results per page  
1 of 1 25 ▼

To investigate potential matches:

- If the information displayed disproves the match, note “false match”
- Save this documentation for a minimum of ten (10) years

<b>BETTY J JONES</b> ● Active				Exclusion
<b>DUNS</b> Unique Entity ID (blank)	CAGE Code (blank)	Physical Address GLEN CARBON, IL 62034 USA	Classification <b>Individual</b>	
<b>SAM</b> Unique Entity ID (blank)	<b>False match</b>		Activation Date <b>Jan 20, 2016</b>	
				Termination Date <b>Indefinite</b>

- If the information displayed does not disprove the match, click the name
- If the information displayed on this screen disproves the match, note “false match”
- Save this documentation for a minimum of ten (10) years

Exclusion
Follow

Exclusion Details

Identification Information

Cross Reference

Locations

False match

**i** This page contains the exclusion details for the record matching your search criteria. There may be instances when a Firm or Individual has the same name, or a similar name, as your search criteria but is actually a different party. Read the entire record to understand why this entity was excluded and what effect this exclusion has on your decision.

To verify a potential match or obtain additional information, contact the federal agency that created the exclusion record. Contact information for the designated Agency Point of Contact (POC) is linked to the Excluding Agency name within the record. You can also navigate to the Agency Exclusion POC page within SAM Help under Exclusions Information.

Agency users with an exclusion management role may update an exclusion record to deactivate it. From the Edit Exclusion Record options, select Update, then set the Termination Date on the Exclusion Details page. Once an exclusion is inactive, it cannot be modified. [Hide Details](#)

- If the information displayed does not disprove the match, enter the party’s address
- If there is “NO MATCH”, save this documentation for a minimum of 10 years.

**Verify Address**

If you have a street address that may be for this individual, enter it below to see if it is an exact match to either a primary or alternate address.

⊘
No Match

Reset

Verify

- If there is a match, immediately remove the party from direct or indirect IPA business
- Save documentation of this action for a minimum of ten (10) years

For assistance determining if your employee or subcontractor matches with an excluded party, please contact Physicians DataTrust at [compliance@pdtrust.com](mailto:compliance@pdtrust.com) or by phone at (562) 860-8771, ext. 114.